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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : David Ellis et al.

Appln. No. : 09/977,050

Filed : October 12, 2001

For : INTEGRATIVE RISK MANAGEMENT  
SYSTEM AND METHOD

Docket No.: S85.12-0001

Group Art Unit: 2161

Examiner:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patent  
Washington, D.C. 20231

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Sir:

The patents or publications listed on the enclosed PTO  
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of  
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Respectfully submitted,

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FORM PTO-1449		Atty. Docket No.: S85.12-0001	Appl. No.: 09/977,050
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		David Ellis et al.	
		Filing Date October 12, 2001	Group Art: 2161

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AL	GB 2 218 834A	11/1989	Great Britain			X
	AM	WO 01/40984 A1	06/2001	Europe			X
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
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